

# Airline and Aircraft Reliability

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**Abstract** – Development of the inspection programme of fatigue-prone aircraft construction under limitation of airline fatigue failure rate. The highest economical effectiveness of airline under limitation of fatigue failure rate and failure probability is discussed. For computing is used exponential regression, Monte Carlo method, Log Normal distribution, Markov chains and semi-Markov process theory. The minimax approach is offered for processing the results of full-scale fatigue approval test of an airframe. Fatigue crack parameters and numerical examples are given and explained.

**Keywords** – Inspection programme, Markov chains, minimax, reliability, fatigue crack, exponential approximation.

## I. INTRODUCTION

The fatigue failure probability (FFP) of fatigue-prone aircraft (AC) and fatigue failure rate (FFR) of airline (AL) are problems of high priority. A lot of papers and books examine these problems and offer possible solutions [1] – [9] where the Markov chains (MC) and semi-Markov process with reward (SMPW) theories [10] – [12] are offered to solve these problems, using exponential approximation of fatigue crack size growth function, (1) where  $\alpha$ ,  $Q$  are parameters of fatigue crack trajectory (PFCT).

$$a(t) = \alpha \exp(Qt) \tag{1}$$

The value  $\alpha$  is called the equivalent initial flow size (EIFS). (Note, it is not a real initial flow size; it is only a parameter of exponential approximation of fatigue crack trajectory!) The value  $Q$  defines the speed of fatigue crack size growth on a logarithmic scale:  $\log(a(t)) = \log \alpha + Qt$ . PFCT are random variables. It is supposed that the cumulative distribution function (cdf) of the vector  $(\alpha, Q)$  is known, but a certain parameter of this cdf,  $\theta$  is not known. Estimation of  $\theta$  and the choice of inspection programme under condition of limitation FFP up to a specified life (AC retirement age),  $t_{SL}$ , or limitation of FFR of AL can be achieved using minimax processing of results of observation of some random fatigue cracks during AC type full-scale fatigue approval test. A specific feature of the approval test is a decision to redesign the new AC type if some reliability requirements are not met. In [1], it was assumed that  $\alpha$  was some constant. In this paper this assumption is eliminated.

## II. MINIMAX CHOICE OF INSPECTION PROGRAMME

Despite all the simplicity, formula (1) gives us a rather comprehensible result in the interval  $(t_d, t_c)$ , where  $t_d$  is a time when the crack becomes detectable [13-15] ( $a(t_d) = a_d$ )

(2) and  $t_c$  is a time when the crack reaches its critical size ( $a(t_c) = a_c$ ) (3) and fatigue failure takes place (see Fig. 1).

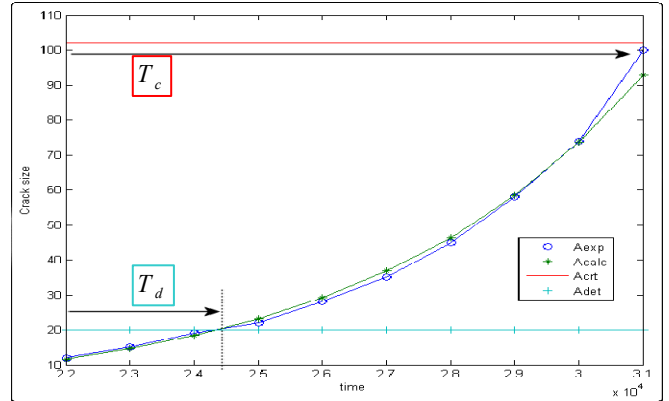


Fig. 1. Exponential approximation of fatigue crack.

$$T_d = (\log a_d - \log \alpha) / Q = C_d / Q \tag{2}$$

$$T_c = (\log a_c - \log \alpha) / Q = C_c / Q. \tag{3}$$

Let us denote  $X = \log Q$  and  $Y = \log C_c$ , where  $C_c = \log a_c - \log \alpha$ . From the analysis of the fatigue test data it can be assumed that  $\log T_c = \log C_c - \log Q$  is distributed normally. It results from the additive property of the normal distribution that can take place if either both  $\log C_c$  and  $\log Q$  are normally distributed or if one of these components is normally distributed, while the other is constant. Contrary to [1], in this paper we consider the first case: vector  $(X, Y) = (\log(Q), \log(C_c))$  has two-dimensional normal distribution with vector-parameter  $\theta = (\mu_X, \mu_Y, \sigma_X, \sigma_Y, r)$ . It is worth noting that for the case when  $a_c$  and  $a_d$  are constants, cdf of  $C_d$  is completely defined by the distribution of  $C_c$  because  $C_d = C_c - \delta$ , where  $\delta = \log(a_c / a_d)$ . When  $\theta$  is known, there are two decisions  $d_0$  and  $d_1$ : the aircraft is good enough and the operation of this aircraft type can be allowed ( $d_0$ ) or the redesign of aircraft should be carried out ( $d_1$ ). In case of the first decision, vector  $\vec{t} = (t_1, \dots, t_n)$ , where  $t_i$  is the time moment of  $i$ -th inspection, should also be defined. If  $\theta$  is known the different rules can be offered for the choice of structure of vector  $\vec{t}$ : 1) every interval between inspections is equal to  $t_{SL} / (n + 1)$ , 2) probability of failure in every interval

is equal to  $P(T_c < t_{SL}) / (n+1) \dots$ . In this paper we suppose that (just as in the above-mentioned examples) vector  $\vec{t}$  is defined by means of fixed  $t_{SL}$  and choice of  $n$ .

To substantiate the choice of inspection number, we should know FFP of AC and FFR and gain (GL) of AL as functions of  $n$ . For this purpose, the process of operation of AC can be viewed as absorbing MC with  $(n+4)$  states. States  $E_1, E_2, \dots, E_{n+1}$  correspond to AC operation in time intervals  $[t_0, t_1), [t_1, t_2), \dots, [t_n, t_{SL})$ , and states  $E_{n+2}, E_{n+3}$ , and  $E_{n+4}$  are absorbing states: AC is discarded from service when SL is reached or there is a fatigue failure (FF), or fatigue crack detection (CD) takes place (see Fig. 2).

	$E_1$	$E_2$	$E_3$	...	$E_{n-1}$	$E_n$	$E_{n+1}$	$E_{n+2}$ (SL)	$E_{n+3}$ (FF)	$E_{n+4}$ (CD)
$E_1$	0	$u_1$	0	...	0	0	0	0	$q_1$	$v_1$
$E_2$	0	0	$u_2$	...	0	0	0	0	$q_2$	$v_2$
$E_3$	0	0	0	...	0	0	0	0	$q_3$	$v_3$
...	...	...	...	...	...	...	...	...	...	...
$E_{n-1}$	0	0	0	...	0	$u_{n-1}$	0	0	$q_{n-1}$	$v_{n-1}$
$E_n$	0	0	0	...	0	0	$u_n$	0	$q_n$	$v_n$
$E_{n+1}$	0	0	0	...	0	0	0	$u_{n+1}$	$q_{n+1}$	$v_{n+1}$
$E_{n+2}$ (SL)	0	0	0	...	0	0	0	1	0	0
$E_{n+3}$ (FF)	0	0	0	...	0	0	0	0	1	0
$E_{n+4}$ (CD)	0	0	0	...	0	0	0	0	0	1

Fig. 2. Transition probability matrix  $P_{AC}$ .

In the transition probability matrix,  $P_{AC}$ , for corresponding process of AC operation let the probability of crack detection during the inspection number  $i$  be denoted as  $v_i$  (6); probability of failure in service time interval  $t \in (t_{i-1}, t_i]$  be denoted as  $q_i$  (5) and probability of successful transition to the next state as  $u_i$  (6). In our model we also assume that an aircraft is discarded from service at  $t_{SL}$  even if there are no cracks discovered by inspection at time moment  $t_{SL}$ . This inspection at the end of  $(n+1)$ -th interval (in state  $E_{n+1}$ ) does not change reliability but it is carried out in order to know the state of an aircraft (whether there is a fatigue crack or there is no fatigue crack). It can be shown in equations (4-6) where  $a_i, g_{ai}, b_i, g_{bi}, \mu_{X/Y}, \sigma_{X/Y}$  is defined in equations (7-12)

$$u_i = P(T_d > t_i | T_d > t_{i-1}) = P(Q < C_d / t_i) / P(Q < C_d / t_{i-1}) = a_i / a_{i-1}, \quad (4)$$

$$q_i = P(t_{i-1} < T_d < T_c < t_i | T_d > t_{i-1}) = \begin{cases} 0, & \text{if } t_{i-1} C_c / C_d > t_i, \\ b_i / a_{i-1}, & \text{if } t_{i-1} C_c / C_d \leq t_i, \end{cases} \quad i = 1, \dots, n+1, \quad (5)$$

$$v_i = 1 - u_i - q_i, \quad (6)$$

where

$$a_i = P(Q < C_d / t_i) = \int_{\ln \delta}^{+\infty} (g_{ai}(y)) d\Phi \left( \frac{y - \mu_Y}{\sigma_Y} \right), \quad (7)$$

$$g_{ai} = P(Q < C_d / t_i) = \Phi \left( \frac{(\log(e^y - \delta) - \log t_i) - \mu_{X/Y}}{\sigma_{X/Y}} \right), \quad (8)$$

$$b_i = P(C_c / t_i < Q < C_d / t_{i-1}), \quad (9)$$

$$= P(\log C_c - \log t_i \leq \log Q < \log(C_c - \delta) - \log t_{i-1}),$$

$$= \int_{\ln \delta}^{+\infty} (g_{bi}(y)) d\Phi \left( \frac{y - \mu_Y}{\sigma_Y} \right),$$

$$g_{bi}(y) = \max \left( \begin{array}{l} 0, \Phi \left( \frac{(\log(e^y - \delta) - \log t_{i-1}) - \mu_{X/Y}}{\sigma_{X/Y}} \right) \\ -\Phi \left( \frac{(y - \log t_i) - \mu_{X/Y}}{\sigma_{X/Y}} \right) \end{array} \right), \quad (10)$$

$$\mu_{X/Y} = \mu_X + r \frac{\sigma_X}{\sigma_Y} (y - \mu_Y), \quad (11)$$

$$\sigma_{X/Y} = \sigma_X \sqrt{1 - r^2}. \quad (12)$$

These probabilities can also be calculated using the Monte Carlo method (13). Equation (14) can be used for modelling r.v with some coefficient of correlation  $r$  where r.v.  $\eta_1$  and  $\eta_2$  have the standard normal distribution.

$$Y = \log C_c \sim N(\mu_Y, \sigma_Y^2), X = \log Q \sim N(\mu_X, \sigma_X^2) \quad (13)$$

$$Y = \eta_1 \sigma_Y + \mu_Y, X = \eta_1 \sigma_X r + \eta_2 \sigma_X \sqrt{1 - r^2} + \mu_X \quad (14)$$

Let us recall that in the matrix,  $P_{AC}$ , there are three units in three last lines in a diagonal matrix because states  $E_{n+2}$ ,  $E_{n+3}$ , and  $E_{n+4}$  are absorbing states: AC is discarded from

service when SL is reached or there is a fatigue failure (FF), or fatigue crack detection (CD) takes place.

In the corresponding matrix for operation process of AL, states  $E_{n+2}$ ,  $E_{n+3}$  and  $E_{n+4}$  are not absorbing ones and correspond to return of MC to state  $E_1$  (AL operation returns to the first interval). The other lines of  $P_{AC}$  and  $P_{AL}$  are the same.

For SMPW version of problem, by using  $P_{AL}$  we can obtain the airline gain (15), where  $\pi = (\pi_1, \dots, \pi_{n+4})$  is the vector of stationary probabilities, which is defined by (16)

$$g(n) = \sum_{i=1}^{n+4} \pi_i g_i(n) \quad (15)$$

$$\pi P = \pi, \quad \sum_{i=1}^{n+4} \pi_i = 1 \quad (16)$$

$$g_i(n) = \begin{cases} a_i \cdot u_i + b_i \cdot q_i + c_i \cdot v_i, & i = 1, \dots, n+1, \\ d_i, & i = n+2, \dots, n+4, \end{cases} \quad (17)$$

AL operation rewards are defined in (17), where  $a_i$  is the reward related to a successful transition from one operation interval to the next one and the cost of one inspection;  $b_i$ ,  $c_i$  and  $d_i$  are related to the transition to states  $E_{n+3}$  (FF),  $E_{n+4}$  (CD) and  $E_1$ . Let us note that if  $a=b=c=1$ ,  $d=0$  time transition to state  $E_1$  equals zero, then  $\pi_{ij} = \pi_j g_j(n)/g(n)$  defines the time, which is spent by SMP in state  $E_j$ ,  $j=1, \dots, n+1$ ,  $L_j g(n)/\pi_j$  defines the mean return time for state  $E_j$ .

Specifically,  $L_{n+3}$  is the mean time between FF; so  $\lambda_F = 1/L_{n+3}$  is FFR. It is also worth mentioning that the same value can be calculated in another way. This value is equal to the ratio of aircraft failure probability,  $p_F$ , to the mean life of a new aircraft,  $L_1 = g(n)/\pi_1$  (the mean time of renewal of AC (renewal operation of AL in the first interval)).

There are two versions of reliability requirements: A) limitation of FFR of AL; and B) limitation of FFP of AC. We will thoroughly consider case A. If  $\theta$  is known, we calculate the gain as a function of  $n$ ,  $g(n, \theta)$ , and choose number  $n_g$  corresponding to the maximum of gain. Then we calculate FFR as a function of  $n$ ,  $\lambda_F(n, \theta)$ , and choose  $n_\lambda$  in such a way that for all  $n \geq n_\lambda$  function  $\lambda_F(n, \theta)$  will be equal to or less than some value  $\lambda_{FD}$  (the “designed” FFR) (18). Finally, we choose inspection number  $n$  (19).

$$n_\lambda(\lambda_{FD}, \theta) = \min \{ n : \lambda_F(n, \theta) \leq \lambda_{FD}, \text{ for all } n \geq n_\lambda(\lambda_{FD}, \theta) \} \quad (18)$$

$$n = n_{g\lambda}(\lambda_{FD}, \theta) = \max(n_g(\theta), n_\lambda(\lambda_{FD}, \theta)) \quad (19)$$

However, we do not know  $\theta$  and we can get only some estimate of this parameter,  $\hat{\theta}$ . Then, first of all, we should define some part of parameter space  $\Theta_0$  in such a way that if  $\hat{\theta} \notin \Theta_0$  then redesign of AC should be carried out.

If instead of  $n_{g\lambda}(\lambda_{FD}, \theta)$  we use  $\hat{n}_{g\lambda} = n_{g\lambda}(\lambda_{FD}, \hat{\theta})$  then real intensity FFR will be a function of random variable,  $\lambda_F(\hat{n}_{g\lambda}, \theta)$ . Let us define  $\lambda_F(\hat{\theta}, \lambda_{FD}, \Theta_0) = \lambda_F(\hat{n}_{g\lambda}, \theta)$  if  $\hat{\theta} \in \Theta_0$  and  $\lambda_F(\hat{\theta}, \lambda_{FD}, \Theta_0) = 0$  if  $\hat{\theta} \notin \Theta_0$  (service of this type of AC is not allowed). The corresponding expected value of FFR as a function of  $\theta$  has its maximum because in case of “bad  $\hat{\theta}$ ” we redesign an airframe, but in case of “very good  $\hat{\theta}$ ” we do not need any inspection.

Let us denote by  $\lambda_{FD}^*(\Theta_0)$  the solution to (20) (if there is the solution to this equation for specific  $\Theta_0$ ), where  $w_\lambda$  (21) is ‘required FFR’ defined by specific aviation regulations.

$$\sup_{\theta} w_\lambda(\theta, \lambda_{FD}, \Theta_0) = \lambda^* \quad (20)$$

$$w_\lambda(\theta, \lambda_{FD}, \Theta_0) = E \{ \lambda_F(\hat{\theta}, \lambda_{FD}, \Theta_0) \}, \lambda^* \quad (21)$$

$$\begin{aligned} w_\lambda(\theta, \lambda_{FD}, \Theta_0) &= \\ &= \left\{ \int_{\Theta_0} \lambda_F(n_{g\lambda}(\lambda_{FD}, x), \theta) * dF_{\hat{\theta}}(x | \hat{\theta} \in \Theta_0) \right\} P(\hat{\theta} \in \Theta_0). \end{aligned} \quad (22)$$

$$\begin{aligned} w_p(\theta, p_{FD}, \Theta_0) &= \\ &= \sum_{i=1}^{n+1} P(t_{i-1}(\hat{\theta}) \leq T_d < T_c < t_i(\hat{\theta}), \hat{\theta} \in \Theta_0), \end{aligned} \quad (23)$$

$$\begin{aligned} n_p(p_{FD}, \theta) &= \\ &= \min \{ n : p_F(n, \theta) \leq p_{FD}, \text{ for all } n \geq n_p(p_{FD}, \theta) \}, \end{aligned} \quad (24)$$

If after the approval test we see that  $\hat{\theta} \in \Theta_0$  then required inspection number  $n = n_{g\lambda}(\lambda_{FD}^*, \hat{\theta})$ . In a similar way the choice of  $n$  can be made for case B. Instead of (22) where  $F_{\hat{\theta}}(\cdot)$  is cdf of  $\hat{\theta}$ , the following p-set function [1] should be used (23), where  $t_0 = 0$ ,  $t_{n+1} = t_{SL}$ ,  $t_i(\theta)$ ,  $i = 1, \dots, \hat{n}_{gp}$ ,

$\hat{n}_{gp} = \max(n_g, n_p(p_{FD}, \hat{\theta}))$ ,  $p_{FD}$  is “designed” as allowed FFR of AC, which is used for the choice of  $n_p$  (24), function  $p_F(n, \theta)$  defines FFP of AC for specific  $n$  and  $\theta$ .

TABLE I  
 FATIGUE CRACK PARAMETERS

No.	Crack #	Ln (a0)	Q	X=Ln(Q)	Y=lnCc
1	75	-1.2513	1.86E-04	-8.58976	1.905519
2	92	-1.8768	1.95E-04	-8.54251	1.994482
3	93	-1.2445	1.61E-04	-8.73411	1.904507
4	116	-1.697	2.20E-04	-8.42188	1.96971
5	112	-1.5102	2.07E-04	-8.48279	1.943306
7	77	-2.5329	2.28E-04	-8.38616	2.080003
8	78	-0.6479	1.54E-04	-8.77856	1.81148
10	129	-1.4226	1.57E-04	-8.75926	1.93068
	Average	-1.5229	0.000189	-8.5868804	1.942461
	StdDev	0.5480844	2.9E-05	0.1551287	0.077889
	CORREL r				0.796

## III. NUMERICAL EXAMPLE

In Table 2.1 of [1] some a priori information about a fatigue crack growth function is provided. Using this information for  $a_c = 237.8$  mm and  $a_d = 20$  mm (these value have already been used in [1]) we get the following estimate of parameter  $\hat{\theta} = (\hat{\mu}_X, \hat{\mu}_Y, \hat{\sigma}_X, \hat{\sigma}_Y, \hat{r}) = (-8.587, 1.942, 0.155, 0.0779, 0.796)$  (see Table I). It is supposed that all inspection intervals are equal. The following definition of components of AL income is used: for all  $i = 1, \dots, n+1$   $a_i = a(n) = a_0(n) + d_{insp} t_{SL}$ , where  $a_0(n) = a_{01} t_{SL} / (n+1)$  is the reward related to a successful transition from one operation interval to the next one;  $a_{01}$  defines the reward of operation in one time unit (one hour or one flight);  $d_{insp} t_{SL}$  is the cost of one inspection (a negative value), which is supposed to be proportional to  $t_{SL}$ ;  $b_i = b_{01} t_{SL}$  is related to FF (a negative value),  $c_i = c_{01} a_0(n)$  is the reward related to transitions from any state  $E_1, \dots, E_{n+1}$  to state  $E_{n+4}$  (it is supposed to be proportional to  $a_0$  because it is part of  $a_0$ );  $d_i = d_{01} t_{SL}$  is a negative reward, the absolute value of which is the cost of new aircraft acquisition after events SL, FF or CD and the transition to  $E_1$ . In the numerical example we have used the following values (see Table II).

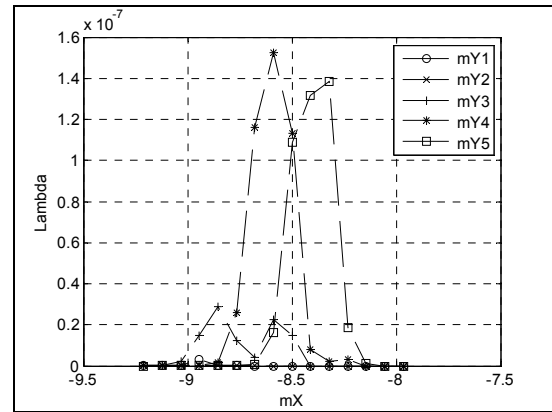
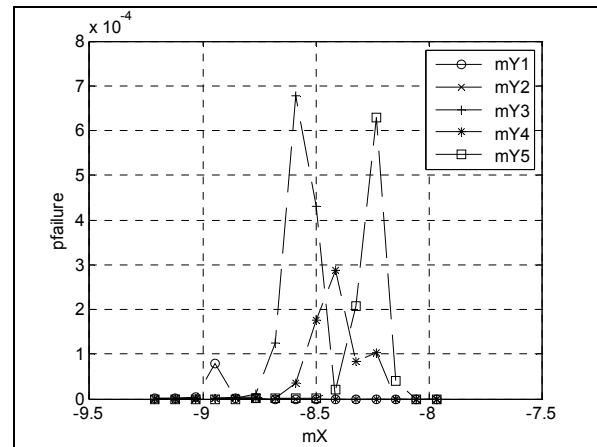
 TABLE II  
 ECONOMIC PARAMETERS

Symbol	Event	Values
$b_{01}$	Transition to state $E_{n+3}$ (FF)	-3
$d_{insp}$	Inspection cost	-0.05
$a_{01}$	Reward related to a successful transition from one operation interval to the next one	1
$c_{01}$	Transition to state $E_{n+4}$ (CD)	0.05
$d_{01}$	Transition to state $E_1$	-0.3

Set  $\Theta_0$  is defined in the following way: the redesign of the AC type should be carried out if an estimate of mean AC life is small ( $T_c < t_{SL}$ ) or a speed of fatigue crack growth is large ( $\log Q > \hat{\mu}_X + \sigma_X$ ). For  $\lambda_{FD} = 0.0000001$  in Fig. 3a the results of calculation of  $w_\lambda(\theta, \lambda_{FD}, \Theta_0)$  and corresponding Fig. 3b (25), where  $t_0 = 0$ ,  $t_{n+1} = t_{SL}$ ,  $t_i(\theta)$ ,  $i = 1, \dots, \hat{n}_{g\lambda}$ ,  $\hat{n}_{g\lambda} = n_{g\lambda}(\lambda_{FD}, \hat{\theta})$ , as a function of  $\mu_X$  for  $(\mu_{Y1}, \dots, \mu_{Y5}) = (1.55, 1.75, 1.94, 2.14, 2.33)$  in the vicinity of its maximum are shown.

$$w_{p\lambda}(\theta, \lambda_{FD}, \Theta_0) = \sum_{i=1}^{n+1} P(t_{i-1}(\hat{\theta}) \leq T_d < T_c < t_i(\hat{\theta}), \hat{\theta} \in \Theta_0) \quad (25)$$

It is supposed that vector  $(\sigma_X, \sigma_Y, r)$  is the same for different vectors  $(\mu_X, \mu_Y)$  and it is equal to the test estimate  $(0.155128668, 0.0778895, 0.796437)$ . (Let us recall that  $(\mu_Y - \mu_X)$  is equal to  $E(\log(T_C))$ ).


 Fig. 3a.  $w_\lambda(\theta, \lambda_{FD}, \Theta_0)$  as a function of  $\mu_X$ .

 Fig. 3b.  $w_\lambda(\theta, \lambda_{FD}, \Theta_0)$  as a function of  $\mu_X$ .

Maximum value of  $w_\lambda(\theta, \lambda_{FD}, \Theta_0)$  is equal to  $1.523 \cdot 10^{-7}$ . Maximum value of  $w_{p\lambda}(\theta, \lambda_{FD}, \Theta_0)$  is equal to 0.000677. Let us suppose that these values satisfy the requirements: required FFR of AL and required FFP of AC. Now let us suppose that in a real test we have got  $\hat{\mu}_X = -8.5885$ ,  $\hat{\mu}_Y = 1.942460769$  (see Fig. 4). (These values have already been considered in [1]). After calculating FFR and airline gain (see Fig. 5), for these specific parameters we find a required number of inspections:  $n = \max(n_g, n_\lambda) = \max(3, 4) = 4$ . It appears that the influence of scatter of EIFS,  $\alpha$ , is very significant. After similar calculations of  $w_\lambda(\theta, \lambda_{FD}, \Theta_0)$  as a function of  $\mu_X$  for  $\sigma_Y = 0.00001$ ,  $r = 0$  we get its maximum value equal to  $1.87 \cdot 10^{-8}$ . It is nearly 10 times lower than in the previous case (when  $\sigma_Y = 0.0778895$ ). Therefore, it is very important to take into account the scatter of EIFS,  $\alpha$ .

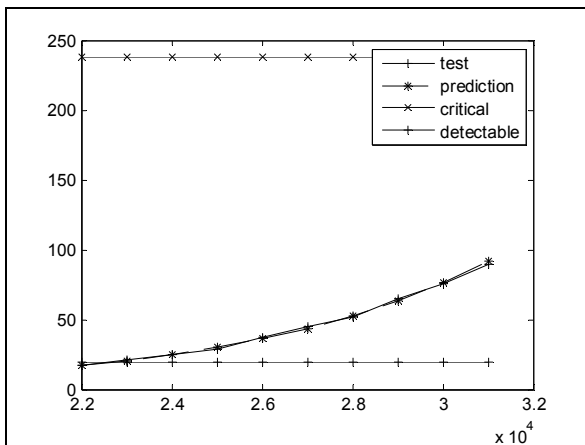


Fig. 4. Example of fatigue crack size as a function of flight number.

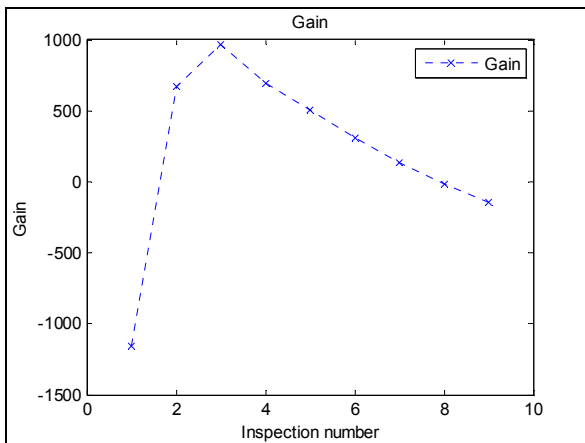


Fig. 5a. Airline gain as a function of inspection number for specific  $\hat{\mu}_X = -8.5885$ ,  $\hat{\mu}_Y = 1.942460769$ .

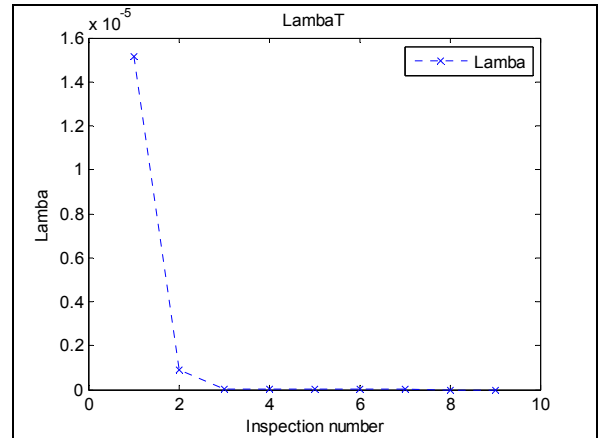


Fig. 5b. FFR as a function of inspection number for specific  $\hat{\mu}_X = -8.5885$ ,  $\hat{\mu}_Y = 1.942460769$ .

IV. CONCLUSIONS

Here is shown as the full-scale fatigue approval test of an airframe can be used for aircraft inspection program develop, based not only on limitation of fatigue failure rate, but extends to the economic analysis of results the best likelihood is achieved using minmax method.

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